



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WADA et al.  
Serial No.: 10/613672  
Filed: July 3, 2003

Examiner: Unknown  
Group Art Unit: Unknown  
Docket: 10873.1239US01

Confirmation  
No.:

Notice of Allow.  
Date:

Due Date:

Title: OPTICAL ELEMENT, OPTICAL HEAD, METHOD FOR CORRECTING SPHERICAL  
ABERRATION, AND OPTICAL RECORDING/REPRODUCING

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 25, 2003.

By:

*Ashley Ewald*  
Name: Ashley Ewald

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 6 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By:

*DPM*  
Name: Douglas P. Mueller  
Reg. No.: 30,300  
DPM/ame





10/613672

PATENT

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Applicant:	WADA et al.	Examiner:	Unknown
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By:

*Ashley Ewald*

Name: Ashley Ewald

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

JP 11-258544 A corresponds with USP 6,201,229.

JP 2000-171346 A corresponds with USP 6,498,330.

JP 10-334575 A corresponds with USP 6,115,336.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

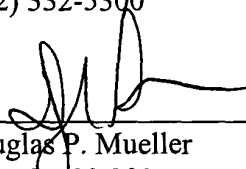
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

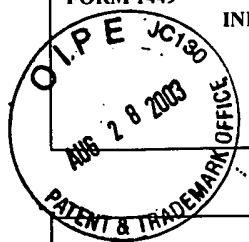
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: August 25, 2003

By   
Douglas P. Mueller  
Reg. No. 30,300

DPM/ame

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 10873.1239US01	Application Number: 10/613672
	Applicant: WADA et al.	
	Filing Date: July 3, 2003	Group Art Unit: Unknown



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,201,229	3/13/2001	Tawa et al.			
	6,498,330	12/24/2002	Yoshida			
	6,115,336	9/5/2000	Okada			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 11-258544 A	9/1999	Japan			see IDS	
	JP 2000-171346 A	6/2000	Japan			see IDS	
	JP 10-334575 A	12/1998	Japan			see IDS	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)




23552

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	